

- We can also write a PSK signal as:

$$\begin{aligned} s_i(t) &= \sqrt{\frac{2E}{T}} \cos\left(\omega_c t + \frac{2\pi(i-1)}{M}\right) \\ &= \sqrt{\frac{2E}{T}} \left[ \cos \frac{2\pi(i-1)}{M} \cos \omega_c t - \sin \frac{2\pi(i-1)}{M} \sin \cos \omega_c t \right] \end{aligned}$$

- Furthermore,  $s_1(t)$  may be represented as a linear combination of two orthogonal functions  $\psi_1(t)$  and  $\psi_2(t)$  as follows

$$s_i(t) = \sqrt{E} \cos \frac{2\pi(i-1)}{M} \psi_1(t) - \sqrt{E} \sin \frac{2\pi(i-1)}{M} \psi_2(t)$$

Where

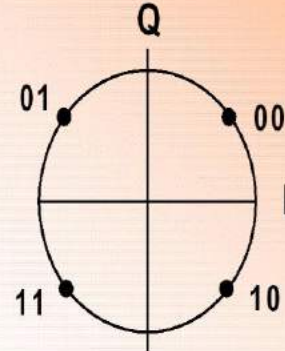
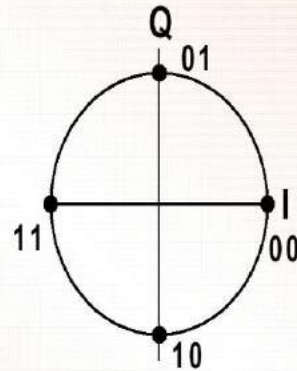
$$\psi_1(t) = \sqrt{\frac{2}{T}} \cos[\omega_c t] \quad \text{and} \quad \psi_2(t) = \sqrt{\frac{2}{T}} \sin[\omega_c t]$$

- Using the concept of the orthogonal basis function, we can represent PSK signals as a two dimensional vector

$$s_i(t) = \left( \sqrt{E_b} \cos \frac{2\pi(i-1)}{M} \psi_1, \sqrt{E_b} \sin \frac{2\pi(i-1)}{M} \psi_2 \right)$$

- For M-ary phase modulation  $M = 2^k$ , where  $k$  is the number of information bits per transmitted symbol
- In an M-ary system, one of  $M \geq 2$  possible symbols,  $s_1(t), \dots, s_m(t)$ , is transmitted during each  $T_s$ -second signaling interval
- The mapping or assignment of  $k$  information bits into  $M = 2^k$  possible phases may be performed in many ways, e.g. for  $M = 4$

$$\theta = 0, \frac{\pi}{2}, \pi, \frac{3\pi}{2}$$



$$\theta = \frac{\pi}{4}, \frac{3\pi}{4}, \frac{5\pi}{4}, \frac{7\pi}{4}$$

- A preferred assignment is to use “Gray code” in which adjacent phases differ by only one binary digit such that only a single bit error occurs in a  $k$ -bit sequence. **Will talk about this in detail in the next few slides.**
- It is also possible to transmit data encoded as the phase change (phase difference) between consecutive symbols
  - This technique is known as Differential PSK (DPSK)
- There is no non-coherent detection equivalent for PSK except for DPSK

# M-ary PSK

- In MPSK, the phase of the carrier takes on one of  $M$  possible values

$$\phi_i(t) = \frac{2\pi(i-1)}{M}, \quad i = 1, 2, \dots, M$$

- Thus, MPSK waveform is expressed as

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos \left[ \omega_0 t + \frac{2\pi(i-1)}{M} \right]$$

$$s_i(t) = g(t) \cos \left[ \omega_0 t + \frac{2\pi(i-1)}{M} \right]$$

$M = 2^k$	<i>MPSK</i>
2	<i>BPSK</i>
4	<i>QPSK</i>
8	<i>8-PSK</i>
16	<i>16-PSK</i>
.....	

- Each  $s_i(t)$  may be expanded in terms of two basis function  $\Psi_1(t)$  and  $\Psi_2(t)$  defined as

$$\psi_1(t) = \sqrt{\frac{2}{T_s}} \cos \omega_c t, \quad \psi_2(t) = \sqrt{\frac{2}{T_s}} \sin \omega_c t,$$

# Quadrature PSK (QPSK)

- Two BPSK in phase quadrature
- QPSK (or 4PSK) is a modulation technique that transmits 2-bit of information using 4 states of phases
- For example

2-bit Information	$\emptyset$
00	0
01	$\pi/2$
10	$\pi$
11	$3\pi/2$

Each symbol corresponds to two bits

- General expression:

$$s_{QPSK}(t) = \sqrt{\frac{2E_s}{T_s}} \cos \left[ 2\pi f_c t + \frac{2\pi(i-1)}{M} \right], \quad i = 1, 2, 3, 4 \quad 0 \leq t \leq T_s$$

- The signals are:

$$s_0 = \sqrt{\frac{2E_s}{T_s}} \cos(\omega_c t) \quad s_1 = \sqrt{\frac{2E_s}{T_s}} \cos\left(\omega_c t + \frac{\pi}{2}\right) = -\sqrt{\frac{2E_s}{T_s}} \sin(\omega_c t)$$

$$s_2 = \sqrt{\frac{2E_s}{T_s}} \cos(\omega_c t + \pi) = -\sqrt{\frac{2E_s}{T_s}} \cos(\omega_c t)$$

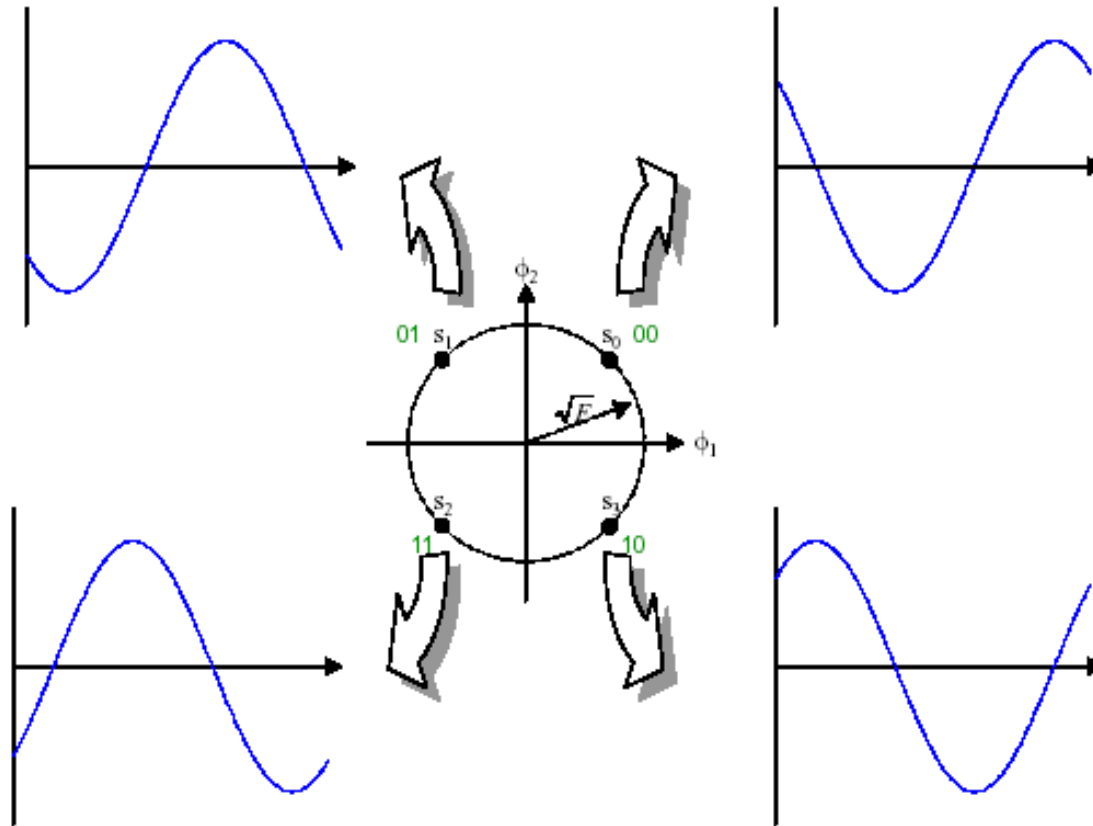
$$s_3 = \sqrt{\frac{2E_s}{T_s}} \cos\left(\omega_c t + \frac{3\pi}{2}\right) = \sqrt{\frac{2E_s}{T_s}} \sin(\omega_c t)$$

$$s_{0,2}(t) = \pm \sqrt{\frac{2E_s}{T_s}} \cos \omega_c t, \quad \phi - \text{shift of } 0^\circ \text{ and } 180^\circ$$

$$s_{1,3}(t) = \pm \sqrt{\frac{2E_s}{T_s}} \sin \omega_c t, \quad \phi - \text{shift of } 90^\circ \text{ and } 270^\circ$$

- We can also have:

$$s_{QPSK}(t) = \sqrt{\frac{2E_s}{T_s}} \cos\left[\omega_c t + \frac{2\pi(i-1)}{M} - \frac{\pi}{4}\right], \quad i = 1, 2, 3, 4 \quad 0 \leq t \leq T_s$$



- One of 4 possible waveforms is transmitted during each signaling interval  $T_s$ 
  - i.e., 2 bits are transmitted per modulation symbol  $\rightarrow T_s = 2T_b$ )
- In QPSK, both the in-phase and quadrature components are used
- The **I** and **Q** channels are aligned and phase transition occur once every  $T_s = 2T_b$  seconds with a maximum transition of 180 degrees
- From

$$s_{QPSK}(t) = \sqrt{\frac{2E_s}{T_s}} \cos \left[ 2\pi f_c t + \frac{2\pi(i-1)}{M} \right]$$

- As shown earlier we can use trigonometric identities to show that

$$s_{QPSK}(t) = \sqrt{\frac{2E_s}{T_s}} \cos \left[ \frac{2\pi(i-1)}{M} \right] \cos(\omega_c t) - \sqrt{\frac{2E_s}{T_s}} \sin \left[ \frac{2\pi(i-1)}{M} \right] \sin(\omega_c t)$$



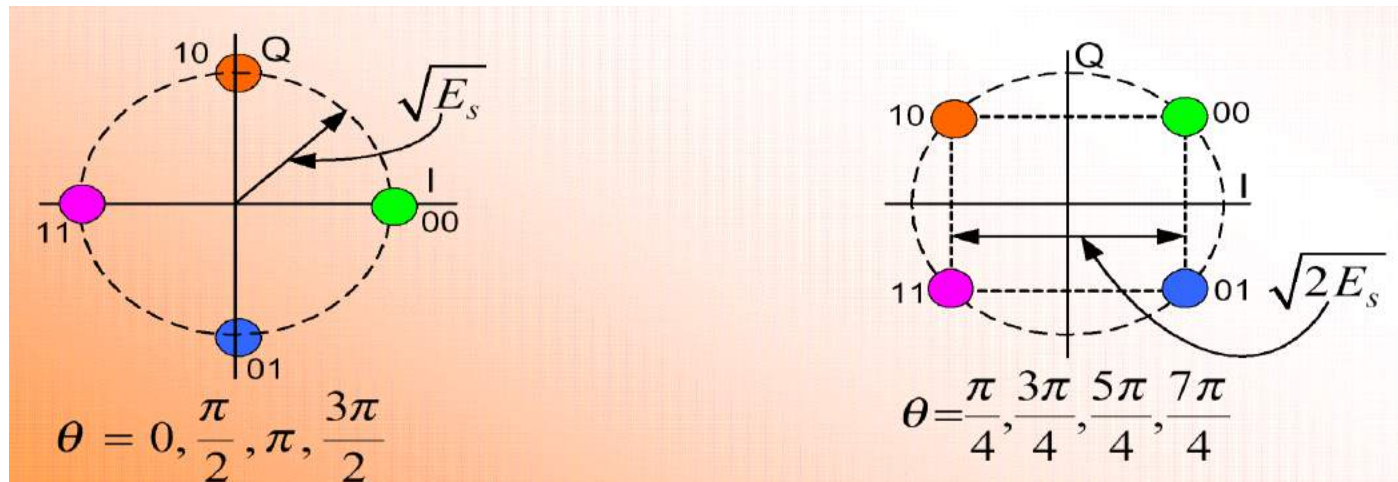
- In terms of basis functions

$$\psi_1(t) = \sqrt{\frac{2}{T_s}} \cos 2\pi f_c t \quad \text{and} \quad \psi_2(t) = \sqrt{\frac{2}{T_s}} \sin 2\pi f_c t$$

we can write  $s_{QPSK}(t)$  as

$$s_{QPSK}(t) = \left\{ \sqrt{E_s} \cos \left[ \frac{2\pi(i-1)}{M} \right] \psi_1(t) - \sqrt{E_s} \sin \left[ \frac{2\pi(i-1)}{M} \right] \psi_2(t) \right\}$$

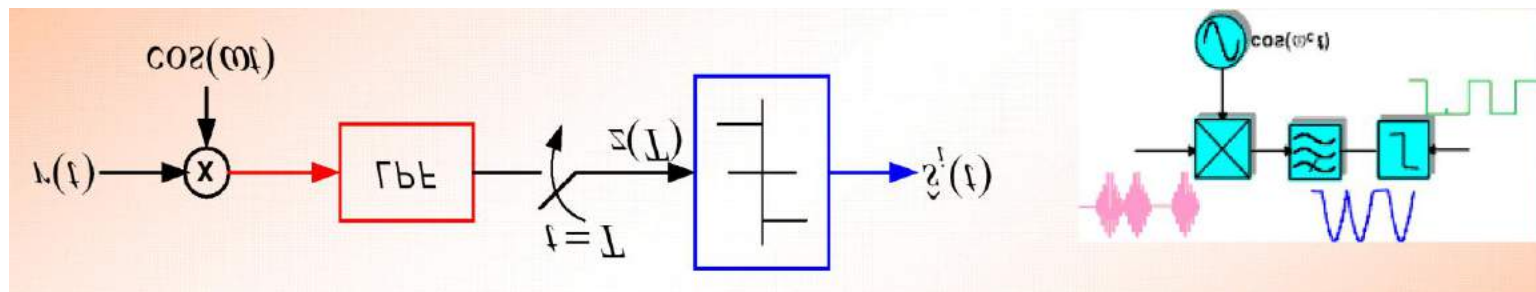
- With this expression, the constellation diagram can easily be drawn
- For example:



# Coherent Detection

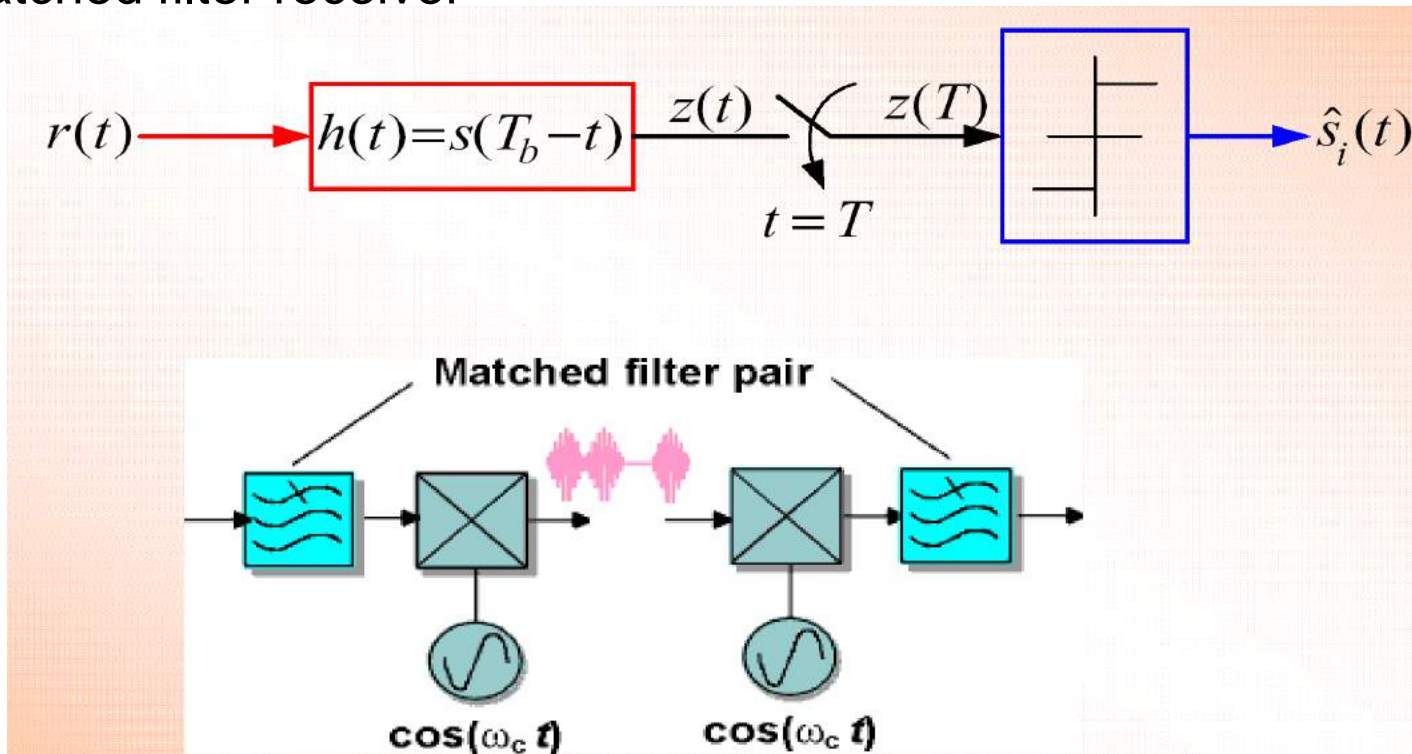
## 1. Coherent Detection of PSK

- Coherent detection requires the phase information
- A coherent detector operates by mixing the incoming data signal with a locally generated carrier reference and selecting the difference component from the mixer output



- Multiplying  $r(t)$  by the receiver LO (say  $A \cos(\omega_c t)$ ) yields a signal with a baseband component plus a component at  $2f_c$
- The LPF eliminates the high frequency component
- The output of the LPF is sampled once per bit period
- The sampled value  $z(T)$  is applied to a decision rule
  - $z(T)$  is called the **decision statistic**

- Matched filter receiver



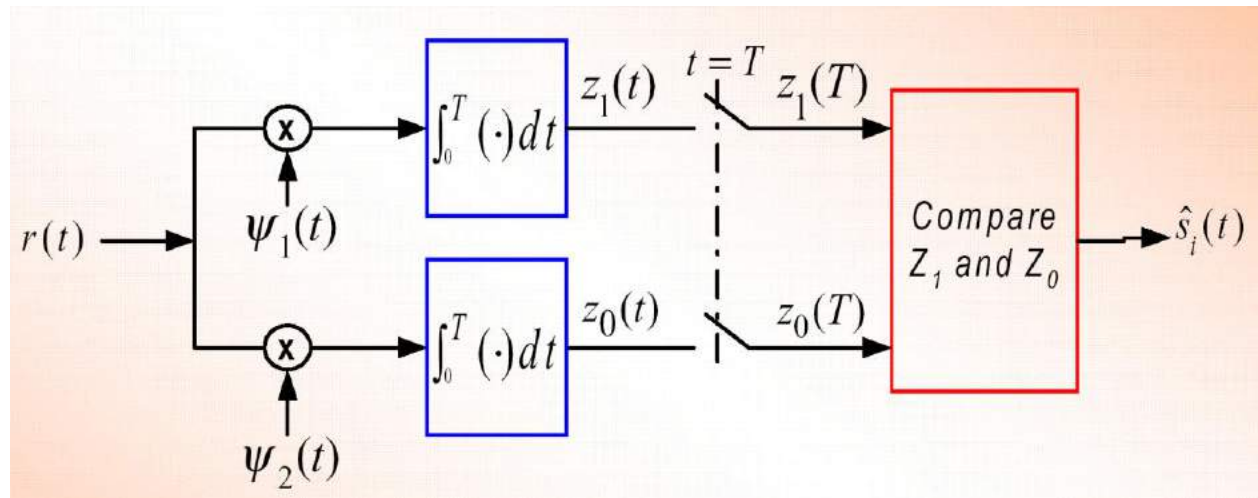
- A MF pair such as the root raised cosine filter can thus be used to shape the source and received baseband symbols
- In fact this is a very common approach in signal detection in most bandpass data modems

## 2. Coherent Detection of MPSK

- QPSK receiver is composed of 2 BPSK receivers
  - one that locks on to the sine carrier and
  - the other that locks onto the cosine carrier

$$\psi_1(t) = A \cos \omega_0 t$$

$$\psi_2(t) = A \sin \omega_0 t$$



$$z_0(t) = \int_0^{T_s} s_0(t) \psi_1(t) dt = \int_0^{T_s} (A \cos \omega_0 t) (A \cos \omega_0 t) dt = \frac{A^2 T_s}{2} \triangleq L_0$$

$$z_1(t) = \int_0^{T_s} s_0(t) \psi_2(t) dt = \int_0^{T_s} (A \cos \omega_0 t) (A \sin \omega_0 t) dt = 0$$

Output	$S_0(t)$	$S_1(t)$	$S_2(t)$	$S_3(t)$
$Z_0$	Lo	0	-Lo	0
$Z_1$	0	-Lo	0	Lo

$$L_0 = \frac{A^2 T_s}{2} \cos \frac{\pi}{4}$$

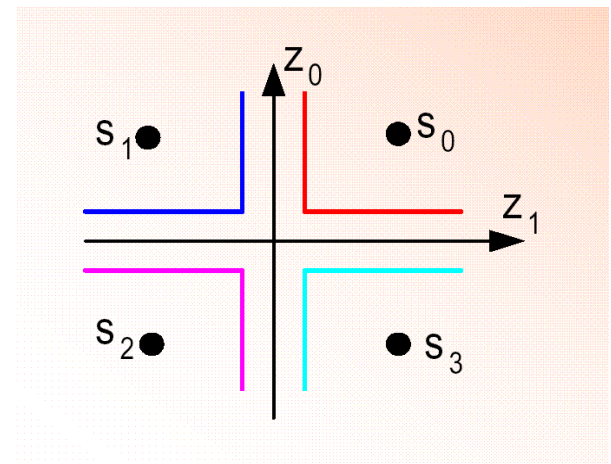
- If  $\psi_1(t) = A \cos(\omega_0 t + 45^\circ)$  and  $\psi_2(t) = A \cos(\omega_0 t - 45^\circ)$

Output	$S_0(t)$	$S_1(t)$	$S_2(t)$	$S_3(t)$
$Z_0$	Lo	-Lo	-Lo	Lo
$Z_1$	Lo	Lo	-Lo	-Lo

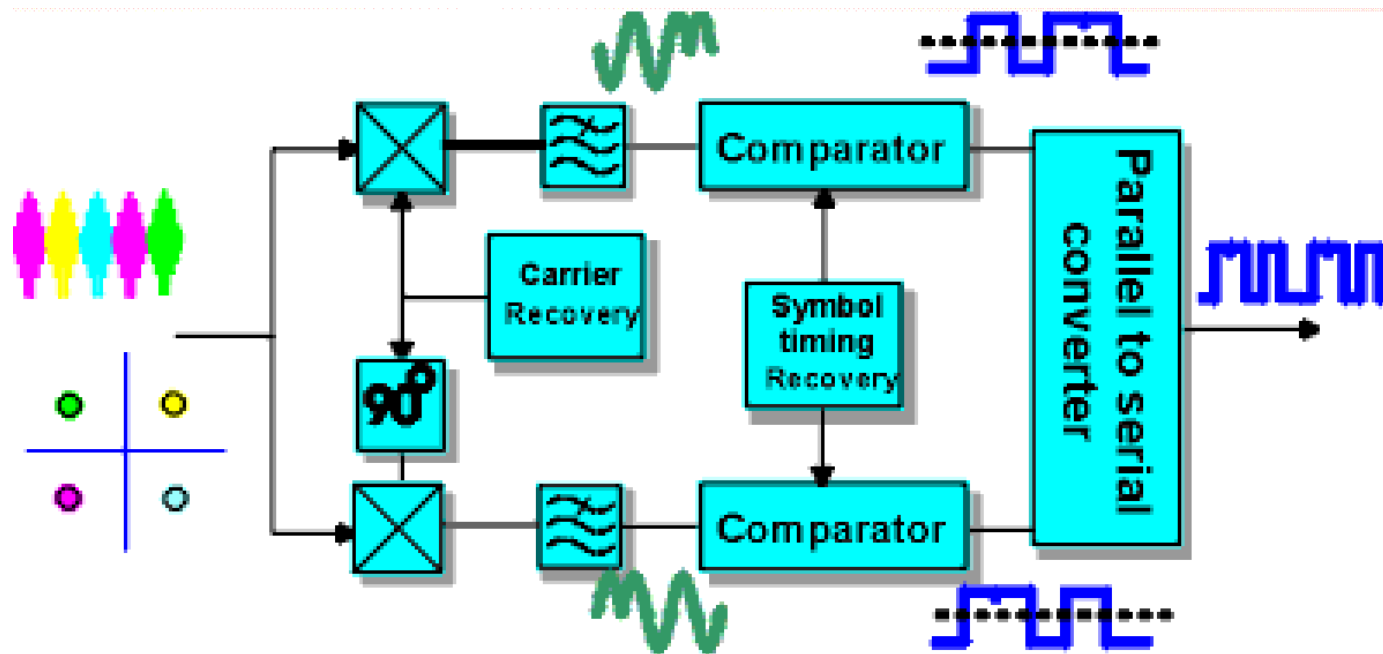
- Decision:
  1. Calculate  $z_i(t)$  as

$$z_i(t) = \int_0^T r(t) \psi_i(t) dt$$

2. Find the quadrant of  $(Z_0, Z_1)$



- A coherent QPSK receiver requires accurate carrier recovery using a 4th power process, to restore the  $90^\circ$  phase states to modulo  $2\pi$

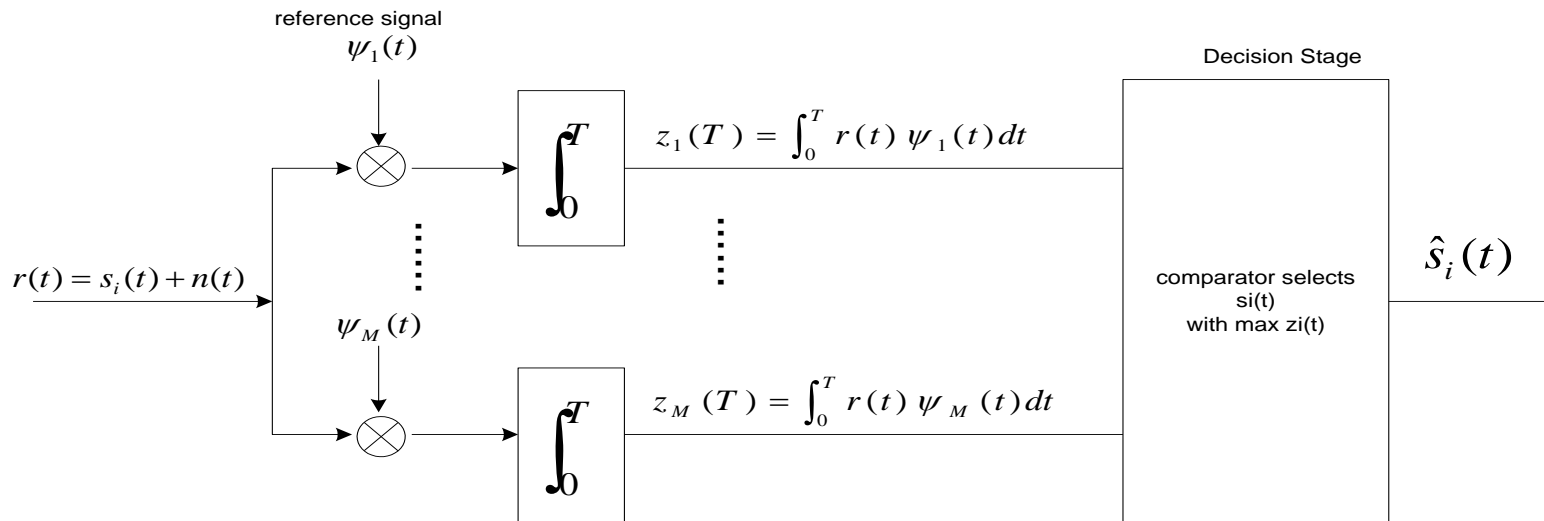
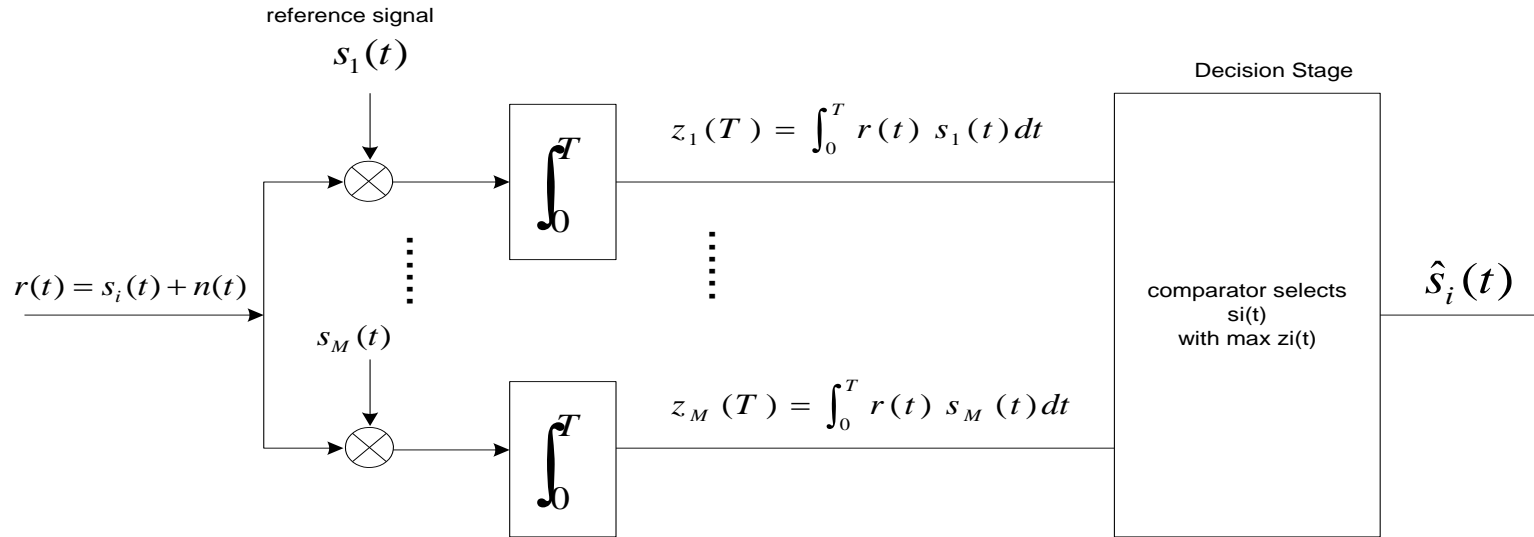


## QPSK detection

## 4.3 Detection of Signals in Gaussian Noise

- Detection models at baseband and passband are identical
- Equivalence theorem (for linear systems):
  - Linear signal processing on passband signal and eventual heterodyning to baseband is equivalent to first heterodyning passband signal to baseband followed by linear signal processing
  - Where  
Heterodyning = Process resulting in spectral shift in signal e.g. mixing
- Performance Analysis and description of communication systems is usually done at baseband for simplicity

## 4.3.2 Correlation Receiver





## 4.4 Coherent Detection

### 4.4.1 Coherent Detection of PSK

- Consider the following binary PSK example

$$s_1(t) = \sqrt{\frac{2E}{T}} \cos(\omega_0 t + \phi) \quad 0 \leq t \leq T$$

$$s_2(t) = \sqrt{\frac{2E}{T}} \cos(\omega_0 t + \phi + \pi) = -\sqrt{\frac{2E}{T}} \cos(\omega_0 t + \phi)$$

$n(t)$  = zero-mean Gaussian random process

- Where  $\phi$  : phase term is an arbitrary constant  
E: signal energy per symbol  
T: Symbol duration
- Single basis function for this antipodal case:

$$\psi_1(t) = \sqrt{\frac{2}{T}} \cos \omega_0 t \quad \text{for } 0 \leq t \leq T$$

- Transmitted signals  $s_i(t)$  in terms of  $\psi_1(t)$  and coefficients  $a_{i1}(t)$  are

$$s_i(t) = a_{i1}(t)\psi_1(t)$$

$$s_1(t) = a_{11}(t)\psi_1(t) = \sqrt{E} \psi_1(t)$$

$$s_2(t) = a_{21}(t)\psi_1(t) = -\sqrt{E} \psi_1(t)$$

- Assume that  $s_1$  was transmitted, then values of product integrators with reference to  $\psi_1$  are

$$E\{z_1 | s_1\} = E\left\{ \int_0^T \sqrt{E} \psi_1^2(t) + n(t)\psi_1(t) dt \right\}$$

$$E\{z_2 | s_1\} = E\left\{ \int_0^T -\sqrt{E} \psi_1^2(t) + n(t)\psi_1(t) dt \right\}$$

$$E\{z_1 | s_1\} = E\left\{ \int_0^T \frac{2}{T} \sqrt{E} \cos^2 \omega_0 t + n(t) \sqrt{\frac{2}{T}} \cos \omega_0 t dt \right\} = \sqrt{E}$$

$$E\{z_2 | s_1\} = E\left\{ \int_0^T -\frac{2}{T} \sqrt{E} \cos^2 \omega_0 t + n(t) \sqrt{\frac{2}{T}} \cos \omega_0 t dt \right\} = -\sqrt{E}$$

where  $E\{n(t)\}=0$

- Decision stage determines the the location of the transmitted signal within the signal space
- For antipodal case choice of  $\psi_1(t) = \sqrt{2/T} \cos \omega_0 t$  normalizes  $E\{z_i(T)\}$  to  $\pm \sqrt{E}$
- Prototype signals  $s_i(t)$  are the same as reference signals  $\psi_j(t)$  except for normalizing scale factor
- Decision stage chooses signal with largest value of  $z_i(T)$

## 4.4.2 Sampled Matched Filter

- The impulse response  $h(t)$  of a filter matched to  $s(t)$  is:

$$h(t) = \begin{cases} s(T - t) & 0 \leq t \leq T \\ 0 & \textit{elsewhere} \end{cases} \quad (\text{eq 4.26})$$

- Let the received signal  $r(t)$  comprise a prototype signal  $s_i(t)$  plus noise  $n(t)$
- Bandwidth of the signal is  $W = 1/2T$  where  $T$  is symbol time then
- $F_s = 2W = 1/T$
- Sample at  $t = kT_s$ . This allows us to use discrete notation:

$$r(k) = s_i(k) + n(k) \quad i = 1, 2 \quad k = 0, 1, \dots$$

- Let  $c_i(n)$  be the coefficients of the MF where  $n$  is the time index and  $N$  represents the samples per symbol

$$c_i(n) = s_i[(N - 1) - n] \quad (\text{eq 4.27})$$

- Discrete form of convolution integral suggests

$$z_i(k) = \sum_{n=0}^{N-1} r(k-n) c_i(n) \quad K = 0,1,\dots, \text{modulo } -N \quad (\text{eq 4.28})$$

- Since noise is assumed to have zero mean, so the expected value of a received sample is:

$$E\{r(k)\} = s_i(k) \quad i = 1,2$$

- Therefore, if  $s_i(t)$  is transmitted, the expected MF output is:

$$E\{z_i(k)\} = \sum_{n=0}^{N-1} s_i(k-n) c_i(n) \quad K = 0,1,\dots, \text{modulo } -N \quad (\text{eq 4.29})$$

- Combining eq (4.27) and (eq 4.29) to express the correlator outputs at time  $k = N - 1 = 3$ :

$$z_1(k=3) = \sum_{n=0}^3 s_1(3-n) c_1(n) = 2 \quad (\text{eq 4.30a})$$

$$z_2(k=3) = \sum_{n=0}^3 s_1(3-n) c_2(n) = -2 \quad (\text{eq 4.30b})$$

# Sampled Matched Filter

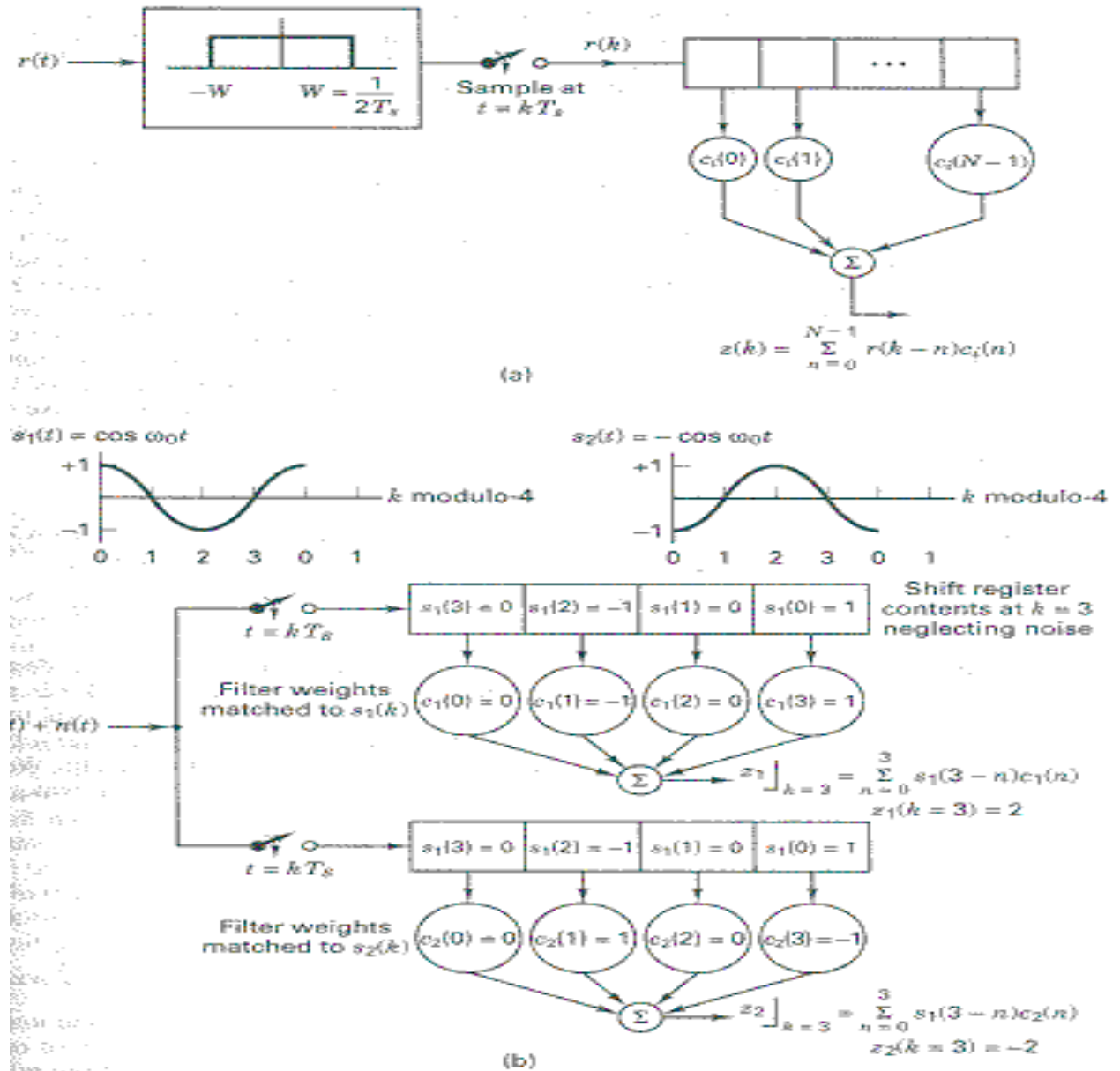


Fig 4.10

### 4.4.3 Coherent Detection of MPSK

- The signal space for a multiple phase-shift keying (MPSK) signal set is illustrated for a four-level (4-ary) PSK or quadriphase shift keying (QPSK)

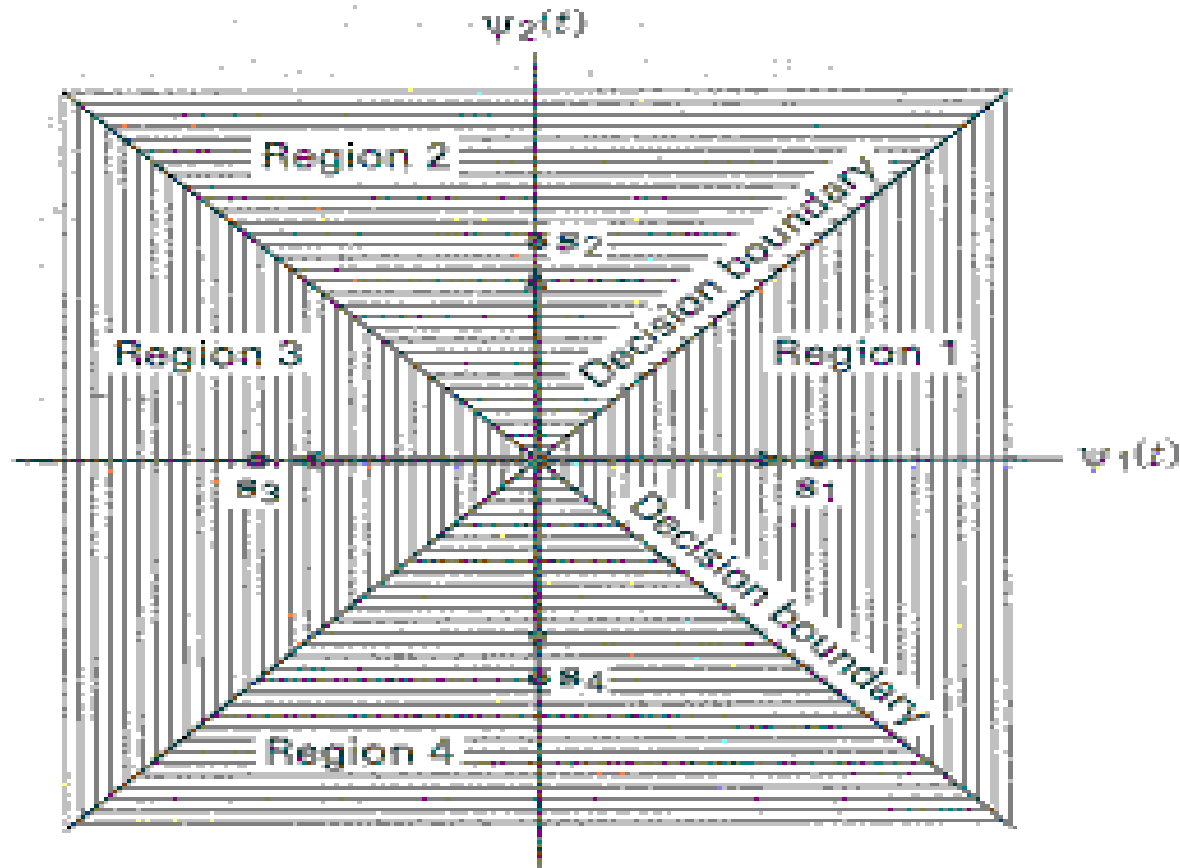


Fig 4.11

- At the transmitter, binary digits are collected two at a time for each symbol interval
- Two sequential digits instruct the modulator as to which of the four waveforms to produce
- $s_i(t)$  can be expressed as:

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos\left(\omega_0 t - \frac{2\pi i}{M}\right) \quad \begin{array}{l} 0 \leq t \leq T \\ i = 1, \dots, M \end{array}$$

where:

E: received energy of waveform over each symbol duration T

$\omega_0$ : carrier frequency

- Assuming an ortho-normal signal space, the basis functions are:

$$\psi_1(t) = \sqrt{\frac{2}{T}} \cos \omega_0 t \quad \psi_2(t) = \sqrt{\frac{2}{T}} \sin \omega_0 t$$



- $s_i(t)$  can be written in terms of these orthonormal coordinates:

$$s_i(t) = a_{i1}\psi_1(t) + a_{i2}\psi_2(t) \quad 0 \leq t \leq T$$

$$i = 1, \dots, M$$

$$= \sqrt{E} \cos\left(\frac{2\pi i}{M}\right)\psi_1(t) + \sqrt{E} \sin\left(\frac{2\pi i}{M}\right)\psi_2(t)$$

- The decision rule for the detector is:
  - Decide that  $s_1(t)$  was transmitted if received signal vector fall in region 1
  - Decide that  $s_2(t)$  was transmitted if received signal vector fall in region 2 etc
  - i.e choose  $i^{\text{th}}$  waveform if  $z_i(T)$  is the largest of the correlator outputs
- The received signal  $r(t)$  can be expressed as:

$$r(t) = \sqrt{\frac{2E}{T}} (\cos \phi_i \cos \omega_0 t + \sin \phi_i \sin \omega_0 t) + n(t) \quad 0 \leq t \leq T$$

$$i = 1, \dots, M$$

- The upper correlator computes
- The lower correlator computes

$$X = \int_0^T r(t) \psi_1(t) dt$$

$$Y = \int_0^T r(t) \psi_2(t) dt$$

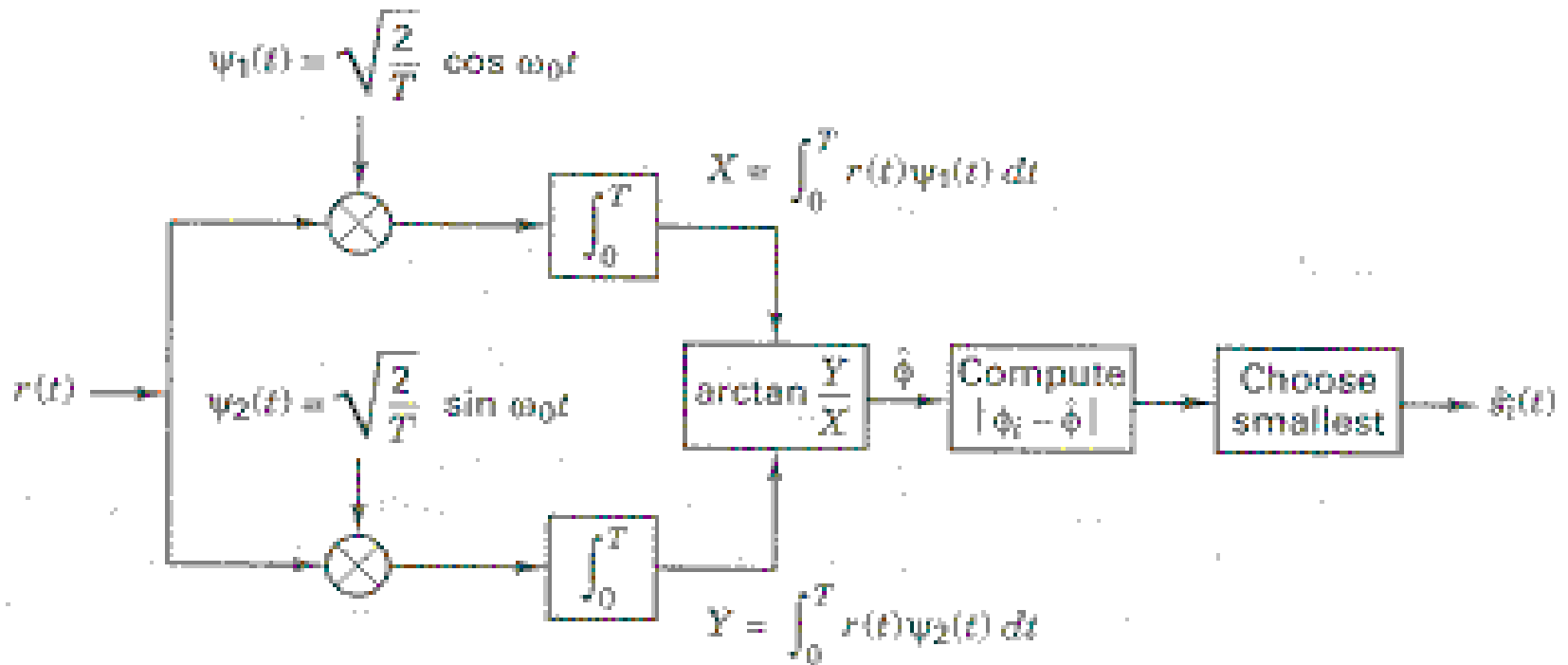


Figure 4.12 Demodulator for MPSK signals.

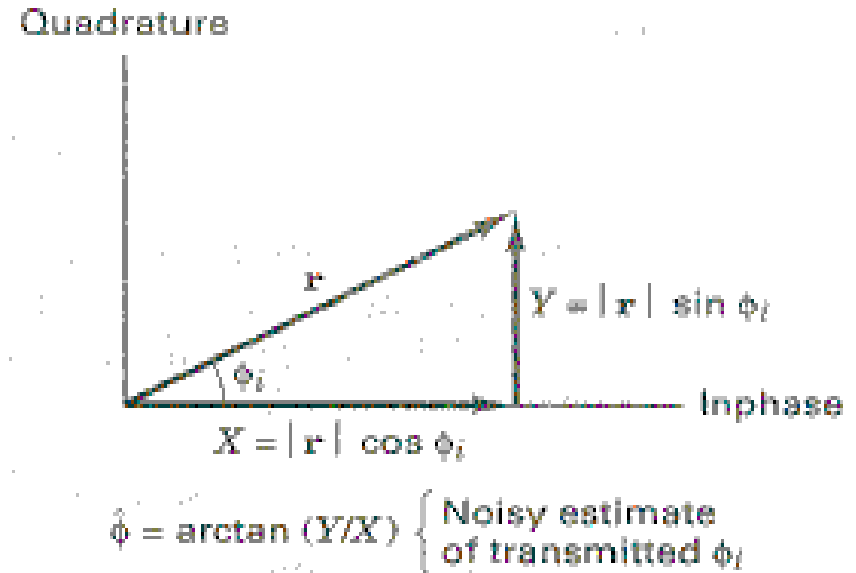
- The computation of the received phase angle  $\phi$  can be accomplished by computing the arctan of  $Y/X$

Where:

$X$ : is the inphase component of the received signal

$Y$ : is the quadrature component of the received signal

$\hat{\phi}$ : is the noisy estimate of the transmitted  $\phi_i$



- The demodulator selects the  $\phi_i$  that is closest to the angle  $\hat{\phi}$
- Or it computes  $|\phi_i - \hat{\phi}|$  for each  $\phi_i$  prototypes and chooses  $\phi_i$  yielding smallest output

Fig 4.13

## 4.4.4 Coherent Detection of FSK

- FSK modulation is characterized by the information in the frequency of the carrier
- Typical set of FSK signal waveform:

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos(\omega_i t + \phi) \quad \begin{array}{l} 0 \leq t \leq T \\ i = 1, \dots, M \end{array}$$

Where  $\Phi$ : is an arbitrary constant

E: is the energy content of  $s_i(t)$  over each symbol duration T

$(\omega_{i+1} - \omega_i)$ : is typically assumed to be an integral multiple of  $\lambda/T$

Assuming the basis functions form an orthonormal set:

$$\psi_j(t) = \sqrt{\frac{2}{T}} \cos \omega_j t \quad j = 1, \dots, N$$

- Amplitude  $\sqrt{2}/T$  normalizes the expected output of the MF

$$a_{ij} = \int_0^T \sqrt{\frac{2E}{T}} \cos(\omega_i t) \sqrt{\frac{2}{T}} \cos(\omega_j t) dt$$

- Therefore

$$a_{ij} = \begin{cases} \sqrt{E} & \text{for } i = j \\ 0 & \text{otherwise} \end{cases}$$

- This implies, the  $i^{\text{th}}$  prototype signal vector is located on the  $i^{\text{th}}$  coordinate axis at a displacement  $\sqrt{E}$  from the origin of the symbol space
- For general M-ary case and given E, the distance between any two prototype signal vectors  $s_i$  and  $s_j$  is constant:

$$d(s_i, s_j) = \| s_i - s_j \| = \sqrt{2E} \quad \text{for } i \neq j$$

# Signal space partitioning for 3-ary FSK

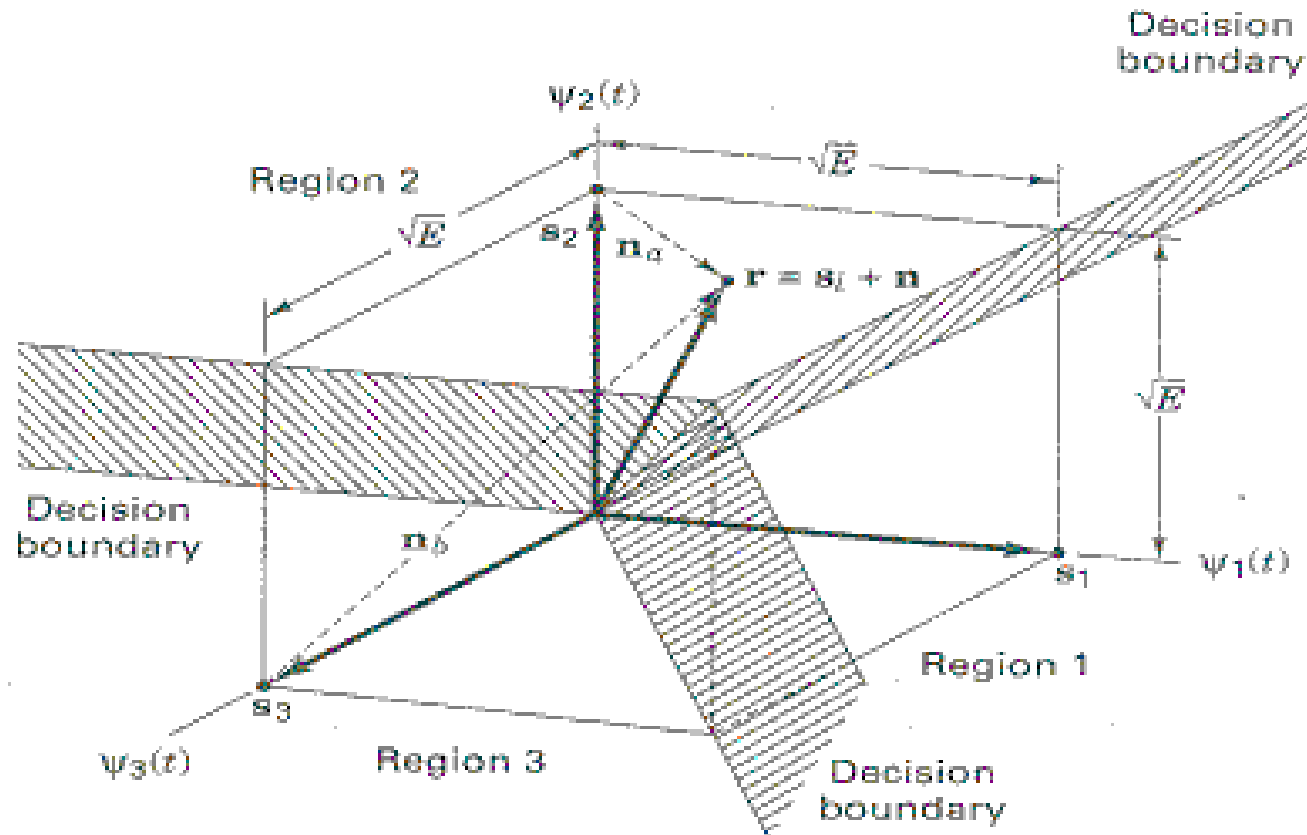


Figure 4.14 Partitioning the signal space for a 3-ary FSK signal.